JfW

DOCKET NO. AB-389U



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Overstreet, et al.

Serial No.: 10/731,050

Filed: December 09, 2003

For: Optimizing Pitch and Other Speech

Stimuli Allocation in a Cochlear

Implant

Group Art

Unit: 2644

Examiner:

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope

addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

on this date:

9/24/2004

Victoria Aguilera

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Examiner:

Submitted herewith is PTO/SB/08 containing a list of items that are being disclosed to the U.S. Patent & Trademark Office (PTO) pursuant to 37 C.F.R. 1.56, 1.97 and 1.98.

This application claims the benefit of U.S. Provisional Patent Application Serial Number 60/433,037, filed December 11 2002 and U.S. Provisional Patent Application Serial Number 60/523,928, filed November 21, 2003.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. See 37 C.F.R. §1.97(g).

IDS 10/731,050 Page Two

Further, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b). See 37 C.F.R. §1.97(h).

All of the items listed on PTO/SB/08 are in the English language.

Respectfully submitted,

Bryant R. Gold Reg. No. 29,715

Dated: 24 Cost 2004

Address all correspondence and telephone inquiries to:

Bryant R. Gold Advanced Bionics Corporation 25129 Rye Canyon Rd. Valencia, CA 91355

Phone: (661) 362-1771 or (760) 788-8138

Fax: (661) 362-1507

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

Substitute for form 1449A/PTO

Sheet

(use as many sheets as necessary)

Complete if Known INFORMATION DISCLOSURE **Application Number** 10/731,050 **Filing Date** December 09, 2003 STATEMENT BY APPLICANT **First Named Inventor** Overstreet, et al. Art Unit 2644 **Examiner Name** Attorney Docket Number AB-389U

PTO/SB/08A (10-01)

U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear			
	A1	US-3,751,605	08-07-1973	Michelson				
	A2	US-4,400,590	08-23-1983	Michelson				
_	АЗ	US-4,495,384	01-22-1985	Scott, et al.				
	A4	US-4,819,647	04-11-1989	Byers, et al.				
	A5	US-5,603,726	02-18-1997	Schulman, et al.				
	A6	US-5,626,629	05-06-1997	Faltys, et al.				
	A7	US-5,938,691	08-17-1999	Schulman, et al.				
	A8.	US-6,067,474	05-23-2000	Schulman, et al.				
	A9	US-6,078,838	06-20-2000	Rubinstein				
	A10	US-6,129,753	10-10-2000	Kuzma				
	A11	US-6,157,861	12-05-2000	Faltys, et al.				
	A12	US-6,181,969-B1	01-30-2001	Gord				
	A13	US-6,195,585-B1	02-27-2001	Karunasiri, et al.				
	A14	US-6,205,360-B1	03-20-2001	Carter, et al.				
	A15	US-6,208,882-B1	03-27-2001	Lenarz, et al.				
	A16	US-6,219,580-B1	04-17-2001	Faltys, et al.				
	A17	US-6,249,704-B1	06-19-2001	Maltan, et al.				
	A18	US-6,289,247-B1	09-11-2001	Faltys, et al.				
	A19	US-6,295,467-B1	09-25-2001	Kollmeier, et al.				
	A20	US-6,393,325-B1	05-21-2002	Mann, et al.				
	A21	US-6,415,185-B1	07-02-2002	Maltan				
	A22	US-6,516,227-B1	02-04-2003	Meadows, et al.				

	FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines,						
		Country -Number ⁴⁻ -Kind Code ⁵ (if known)		Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶					
	A23	WO-03/015863-A2	02-27-2003	Overstreeet							

Examiner	 Date	
Signature	 Considered	·

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.upsto.gov or MPEP 901.04.

Por Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

	tute for form 1449E		JOOL COURT	Complete if Known		
			ISCLOSURE	Application Number	10/731,050	
Sta	TEMENT	BY	APPLICANT	Filing Date	December 09, 2003	
				First Named Inventor	Overstreet, et al.	
	(use as many s	sheets	as necessan/\	Group Art Unit	2644	
	(add do many c	,,,,,,,,,	as necessary,	Examiner Name		
Sheet	2	of	3	Attorney Docket Number	AB-389U	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
	A24	HARNSBERGER, et al., "Perceptual "vowel spaces" of Cochlear Implant Users: Implications for the Study of Auditory Adaptation to Spectral Shift", J Acoust Soc Am, Vol 109(5) pt. 1, (May 2001), pages 2135-2145.					
	A25	McDERMOTT, et al., "Pitch Ranking with Nonsimultaneous Dual-Electrode Electrical Stimulation of the Cochlea", J Acoust Soc Am, Vol. 96(1), (1994) pages 155-162.					
	A26	RUBINSTEIN et al., "The Neurophysiological Effects of Simulated Auditory Prosthesis Simulation" Second Quarterly Progress Report NO1-DC-6-2111					
	A27	van WIERINGEN, et al., "Comparison of Procedures to Determine Electrical Stimulation Thresholds in Cochlear Implant Users", Ear and Hearing, Vol. 22(6), (2001), pages 528-538.					
	A28	ZENG, et al., "Loudness of Simple and Complex Stimuli in Electric Hearing", Annals of Otology, Rhinology & Laryngology, Vol. 104 (9), (1995) pages 235-238.					
	A29	ZHANG, et al., "Loudness of Dynamic Stimuli in Acoustic and Electric Hearing", J Acoust Soc Am, Vol. 102(5) Pt. 1, (Nov. 1997), pages 2925 - 2934.					
		OTHER PRIOR ART - RELATED APPLICATIONS					
	A30	OVERSTREET and FALTYS inventors for AB-254U; U.S. Patent Application Serial No. 10/218,645; filed August 13, 2002; entitled "Cochlear Implant and Simplified Method for Fitting Same".					
	A31	FALTYS inventor for AB-257U; U.S. Patent Application Serial No. 10/218,616; filed August 13, 2002; entitled "Bionic Ear Programming System".					
	A32	SEGEL, OVERSTREET, KRUGER, and MISHRA inventors for AB-313U; U.S. Patent Application Serial No. 10/651,653; filed August 29, 2003; entitled "System and Method for Fitting a Cochlear Implant Sound Processor Using Alternative Signals".					
	A33	OVERSTREET inventor for AB-362U; U.S. Patent Application Serial No. 10/647,372; filed August 25, 2003; entitled "Enhanced Methods for Determining Iso-Loudness Contours for Fitting Cochlear Implant Sound Processors".					

	· · · · · · · · · · · · · · · · · · ·	
Examiner	Date	
Signature	Considered	
Olginature	[Considered]	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.upsto.gov or MPEP 901.04.

3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *S Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	tute for form 1449		JOOL COURE	Complete if Known		
1	_		ISCLOSURE	Application Number	10/731,050	
STA	TEMENT	BY	APPLICANT	Filing Date	December 09, 2003	
				First Named Inventor	Overstreet, et al.	
	luse as many	sheets a	as necessary)	Group Art Unit	2644	
	(000 00)			Examiner Name		
Sheet	3	of	3	Attorney Docket Number	AB-389U	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		OTHER PRIOR ART - RELATED APPLICATIONS					
	A34	MALTAN, MILLER, and HARRISON inventors for AB-376U; U.S. Patent Application Serial No. 10/662,615; filed September 30, 2003; entitled "Cochlear Implant Sound Processor with Permanently Integrated Replenishable Power Source".					
	A35	OVERSTREET, LITVAK, and FALTYS inventors for AB-378U; U.S. Patent Application Serial No. 10/698,097; filed October 31, 2003; entitled "Multi-Electrode Stimulation to Elicit Electrically-Evoked Compound Action Potential."					
	A36	OVERSTREET inventor for AB-379U; U.S. Patent Application Serial No. 10/698,098; filed October 31, 2003; entitled "Method and System for Generating a Cochlear Implant Program Using Multi-Electrode Stimulation to Elicit the Electrically-Evoked Compound Action Potential".					
	-						
_			l				

Examiner	ļ	Date	
Signature		Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.upsto.gov or MPEP 901.04. See Kinds Codes of USPTO Patent Documents at www.upsto.gov or MPEP 901.04. The indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.